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# Predictability of bivalent argument encoding patterns

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# Introductory examples

(1) *Karl wartet auf Marie*                      NOM; auf+ACC  
‘Karl is waiting for Marie.’

(2) *Mir fehlt ein Euro*                              DAT; NOM  
‘I am one Euro short.’

- both are conventional for native speakers
- both are (?) semantically motivated
- to the same degree?
- can this be measured and explained?

# Structure of the talk

- Valency research: an overview
- The database: BivalTyp
- Why not semantic roles as predictors?
- Predictability: introducing  $\pi$
- Results
  - verbs
  - languages
- Conclusions and implications

# Valency research: an overview

Typology is mainly focused on major clause types

- monovalent: 'sleep', 'run', ...
- transitive: 'kill', 'break', ...
- ditransitive: 'give', ...

# Valency research: an overview

The semantic basis of transitivity is relatively well understood

	HIGH	LOW
A. PARTICIPANTS	2 or more participants, A and O. <sup>1</sup>	1 participant
B. KINESIS	action	non-action
C. ASPECT	telic	atelic
D. PUNCTUALITY	punctual	non-punctual
E. VOLITIONALITY	volitional	non-volitional
F. AFFIRMATION	affirmative	negative
G. MODE	realis	irrealis
H. AGENCY	A high in potency	A low in potency
I. AFFECTEDNESS OF O	O totally affected	O not affected
J. INDIVIDUATION OF O	O highly individuated	O non-individuated

[Hopper, Thompson 1980: 252], see also [Tsunoda 1985, Dowty 1991, Lehmann 1991, Lazard 1994, Malchukov 2005]

# Valency research: an overview

- All (?) languages have minor (a.k.a. non-canonical) valency patterns
- (Until recently) underrepresented in typological research
  - «The selection principles apparently only govern argument selection for two-place predicates having a subject and a true direct object»  
[Dowty 1991: 576]
- Goal: to fill this gap for bivalent verbs

# Valency research: an overview

- Why bivalent verbs?

- they are especially prone to show deviant valency behaviour [Bickel et al. 2014]

(3) *The boy looked **at the clouds***

(4) *Das Heu duftet **nach Pferd***

Estonian

(5) *Peetri-le meeldi-b see särk*  
PN-ALL appeal\_to-PRS.3SG this shirt.SG.NOM  
'Peter likes this shirt.'

- they often form relatively large classes, unlike non-canonical trivalent verbs

# Project: goals

- Which factors determine valency class assignment in individual languages?
- To what extent are valency classes similar across languages? To what extent are they variable?
- What is the role of genealogical and areal factors?



# Bivaltyp

Sergey Say (ed.). 2020–... BivalTyp: Typological database of bivalent verbs and their encoding frames.  
St. Petersburg: Institute for Linguistic Studies, RAS.  
(Available online at <https://www.bivaltyp.info>)\*

\*All credit for building the web-page goes to Dmitry Nikolaev

## Welcome to BivalTyp

BivalTyp is a typological database of bivalent verbs and their encoding frames. As of 2023, the database presents data for 92 [languages](#), mainly spoken in Northern Eurasia. The database is based on a [questionnaire](#) containing 130 [predicates](#) given in context. Language-particular encoding frames are identified based on the devices (such as cases, adpositions, and verbal indices) involved in encoding two predefined arguments of each predicate (e.g. ‘Peter’ and ‘the dog’ in ‘Peter is afraid of the dog’). In each language, one class of verbs is identified as transitive. The goal of the project is to explore the ways in which bivalent verbs can be split between the transitive and different intransitive valency classes.

## How to use BivalTyp

You can browse BivalTyp by [predicate](#) (e.g., in case you are interested in how the arguments of the verb ‘to fear’ are encoded in different languages) or by [language](#) (e.g., in case you want to explore the behaviour of 130 predicates in a specific language). Besides, you can [take an overview](#) of the data in your browser, build customizable [maps](#), or search the database as an extended [spreadsheet](#) form. Finally, you can [download](#) the spreadsheet with data for further use offline.

The web-site built by [Dmitry Nikolaev](#).

# Bivaltyp: major design features

- First-hand data provided by language experts
  - St. Petersburg-style typology
- Questionnaire with 130 verbs given in context
  - Wordlist-based approach [Nedjalkov 1969, Bossong 1998, Nichols et al. 2004, Nichols 2008, Malchukov & Comrie (eds.) 2015, etc.]

# Bivaltyp: major design features

#21 (Peter was crossing the river in a boat)

'Peter                **reached**    the bank'

X

Y

#22 (The wall was covered with fresh paint)

'Peter                **touched**    the wall' (and got dirty)

X

Y

=> Two pre-defined arguments (X, Y) for each predicate

# Bivaltyp: major design features

- The valency of a verb = “the list of its arguments with their coding properties”
- Coding properties
  - flagging (cases & adpositions)
  - indexing (agreement, cross-referencing)
  - word order (rarely)

# Bivaltyp: major design features

Abaza (< Northwest Caucasian)

(6) *fatíma murád jə-z-qá-l-ç-əj-t*

PN            PN            [3SG.M.IO-BEN]-LOC-[3SG.F.ERG]-believe-PRS-DCL

Y

X

‘Fatima trusts Murad.’

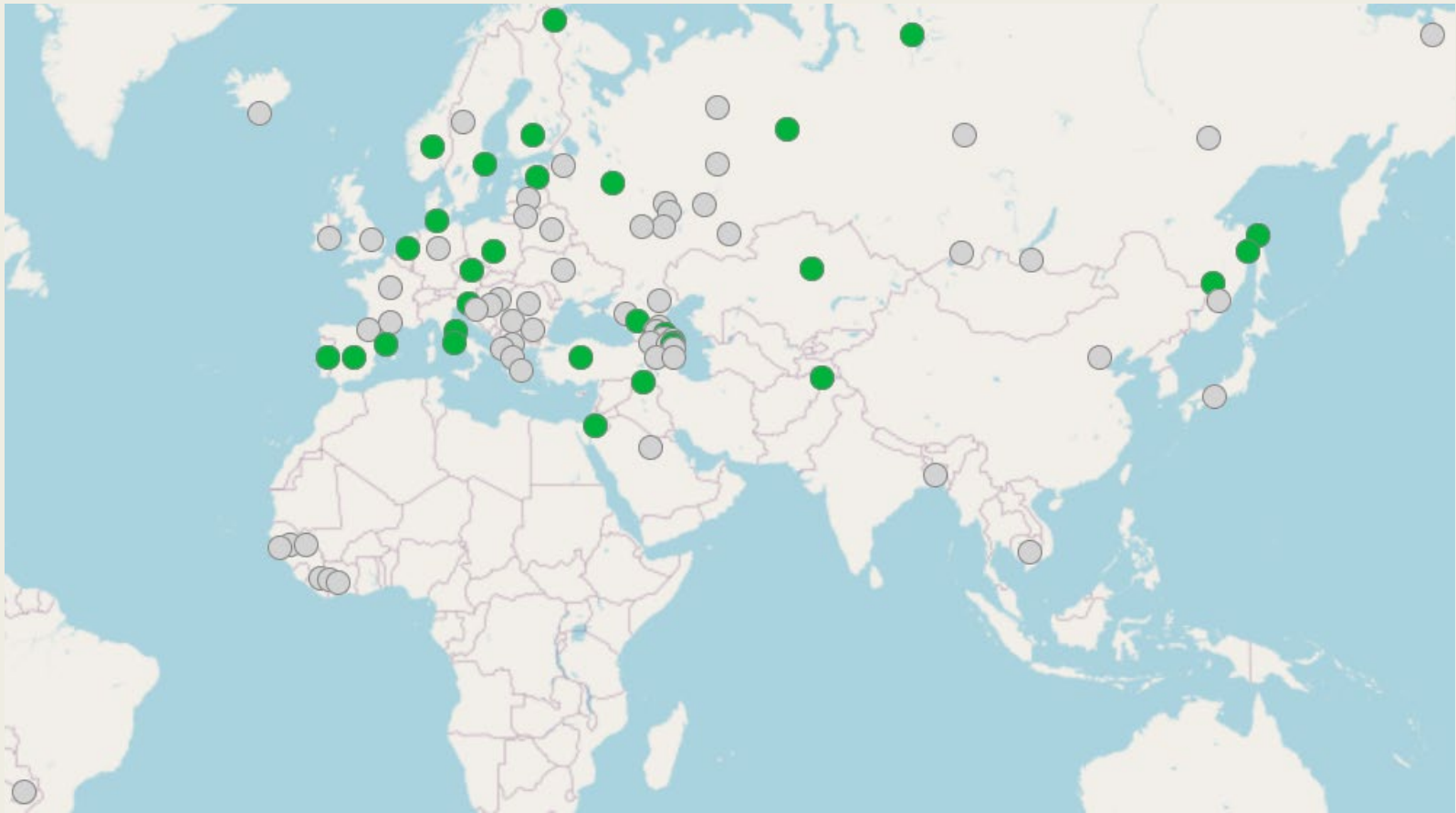
Valency pattern = [ERG; BEN]

# Bivaltyp: major design features

- «A verb is considered transitive if [its two core arguments] are coded like the ‘breaker’ and the ‘broken thing’ micro-roles of the ‘break’ verb» [Haspelmath 2015: 136]

# Bivaltyp: major design features

- The sample: currently 92 languages, mainly spoken in Northern Eurasia





# Bivaltyp: major design features

- A big **THANK YOU** to language experts

Anna Alexandrova, Daria Alfimova, Ekaterina Aplonova, Peter Arkadiev, David Avellan-Hultman, Aleksandra Azargaeva, Mislav Benić, Sandra Birzer, Alena Blinova, Nadezhda Bulatova, Denis Creissels, Michael Daniel, Varvara Diveeva, Sergey Dmitrenko, Vladimir Fedorov, Timothy Feist, Dmitry Gerasimov, Elena Gorbova, Olga Gorickaja, Ingunn Hreinberg Indriðadóttir, Ildar Ibragimov, Emil Ingelsten, Vasilisa Kagirova, Maxim Kloczenko, Maria Khazhomia, Maria Kholodilova, Mikhail Knyazev, Elena Kolpachkova, Daria (Suetina) Konior, Yukari Konuma, Elena Kordi, Richard Kowalik, Kirill Kozhanov, Irina Külmoja, Olga Kuznecova, Timur Maisak, Anastasia (Borisovna) Makarova, Anastasia (Leonidovna) Makarova, Ramazan Mamedshaxov, Solmaz Merdanova, Stepan Mikhajlov, Daria Mischenko, Zarina Molochieva, George Moroz, Rasul Mutalov, Galina Nekrasova, Johanna Nichols, Dmitry Nikolaev, Ajtalina Nogovitsyna, Sofia Oskolskaya, Maria Ovsjannikova, Anastasia Panova, Elena Perekhvalskaja, Natalia Perkova, Krasimira Petrova, Inna Popova, Maria Pupynina, Tatiana Repnina, Neige Rochant, Alexander Rostovtsev-Popiel, Daria Ryzhova, Sergey Say, Ekaterina Sergeeva, Ksenia Shagal, Mayya Shlyakhter, Natalia Stoynova, Ksenia Studenikina, Evgenija Teplukhina, Mladen Uhlik, Anastasia Vasilisina, Arseniy Vydrin, Natalia Zaika, Andreja Žele, Ekaterina Zheltova, Vasilisa Zhigulskaja, Anastasia Zhuk

# Bivaltyp: major design features

- 10694 entries (130 predicates in 92 lgs – 1266 gaps):
  - language ID
  - predicate ID
  - verb
  - valency pattern
  - (for 30 languages: interlinearized examples)
- The database is searchable, sortable and mappable by predicates, languages, valency patterns, etc.
- **Further contributions are very welcome!**

# Why not semantic roles?

- An old idea: semantic roles are linked to argument positions
- Variations on this theme [Fillmore 1968, Dowty 1991, Levin & Rappaport Hovav 2005, Van Valin 1999]
- Possible semantic (thematic) roles
  - Agent
  - Patient
  - Experiencer
  - Stimulus
  - Instrument
  - Recipient
  - ...

# Why not semantic roles?

- Possible linking rules:
  - Agent => Nominative
  - Patient => Accusative
  - Recipient => Dative
  - ...
- Problem: can we really identify discrete argument roles for every verb?

# Why not semantic roles?

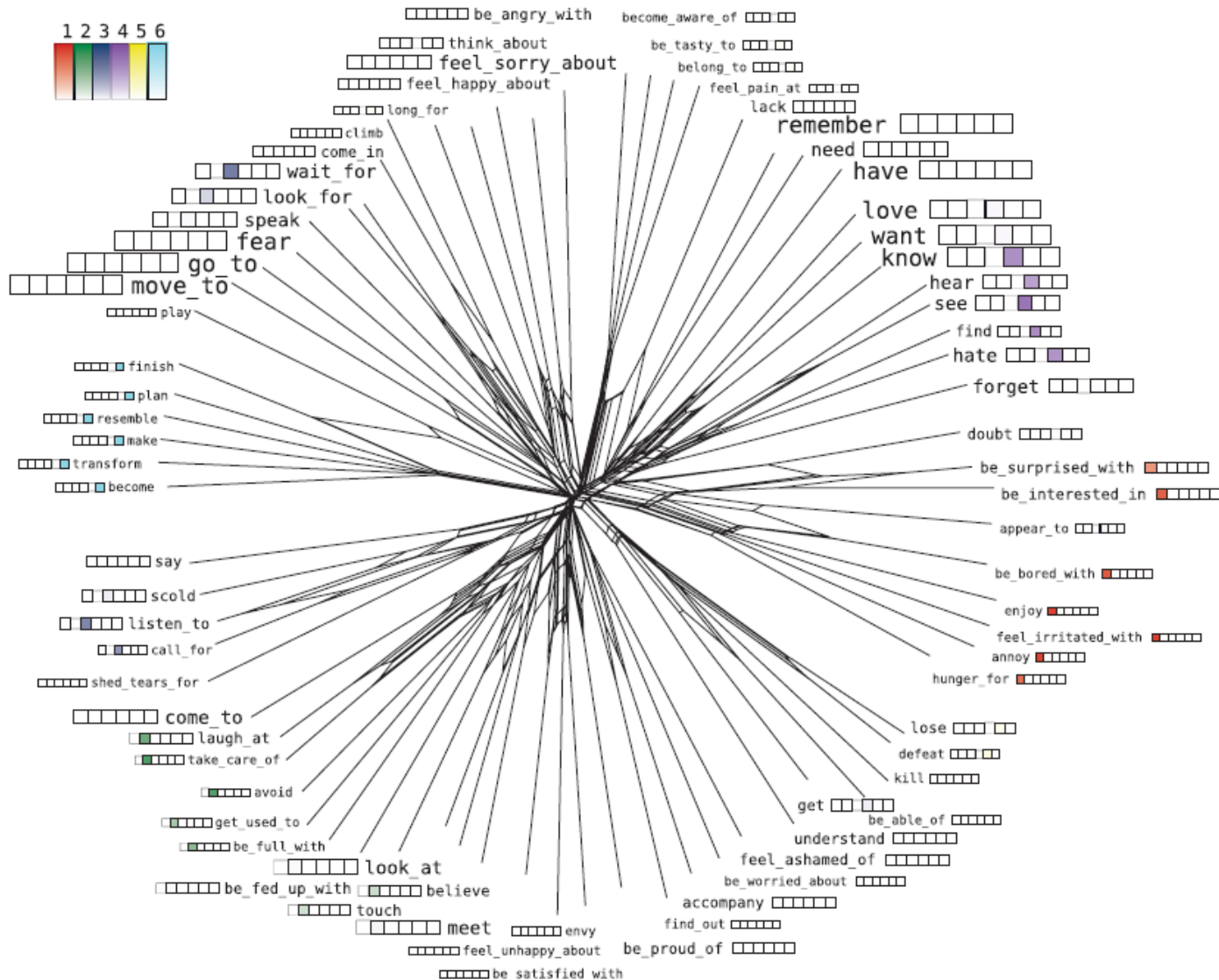
- Theoretical studies mainly focus on salient cases, such as
  - ‘kill’: Agent & Patient
  - ‘see’: Experiencer & Stimulus
  - ...
- Empirical studies based on large wordlists arrive at **fuzzy** roles

# Why not semantic roles?

- Bickel et al. (2014)
  - “For the P class, the fuzzy cluster algorithm was unable to converge” (p. 500)
  - See the clustering for the A class (next slide)
  - See the results based on BivalTyp, two further slides

See also [Hartmann et al. 2014; Widmer et al. 2019]

# Why not semantic roles?



74 predicates favoring intransitivity;  
intransitive patterns only;  
37 languages

“Motion from”

be\_ashamed  
forfeit  
miss  
forget  
dream  
think  
go\_out  
avoid  
dismount  
be\_afraid  
be\_squeamish  
fall\_behind  
be\_different  
depend

have  
have\_\_left  
have\_a\_\_ache  
lack  
have\_enough  
love@inanimate@ like  
need  
dream@sleeping@

Possession

become\_upset  
get\_stuck  
touch  
reach  
shoot\_at  
hit@target@  
enter  
drown

“Attained goal”

be\_called

Comitative

go\_well  
tell  
help  
answer  
flatter  
trust  
believe  
be\_similar  
envy  
obey  
lose\_to  
follow

Interaction

get\_mixed  
be\_friends  
get\_to\_know  
fight  
speak\_with  
agree  
meet\_with  
have\_a\_quarrel

Some emotions

be\_content  
enjoy  
have@illness@  
cut\_oneself  
wave  
fill@intr@\_with  
smell

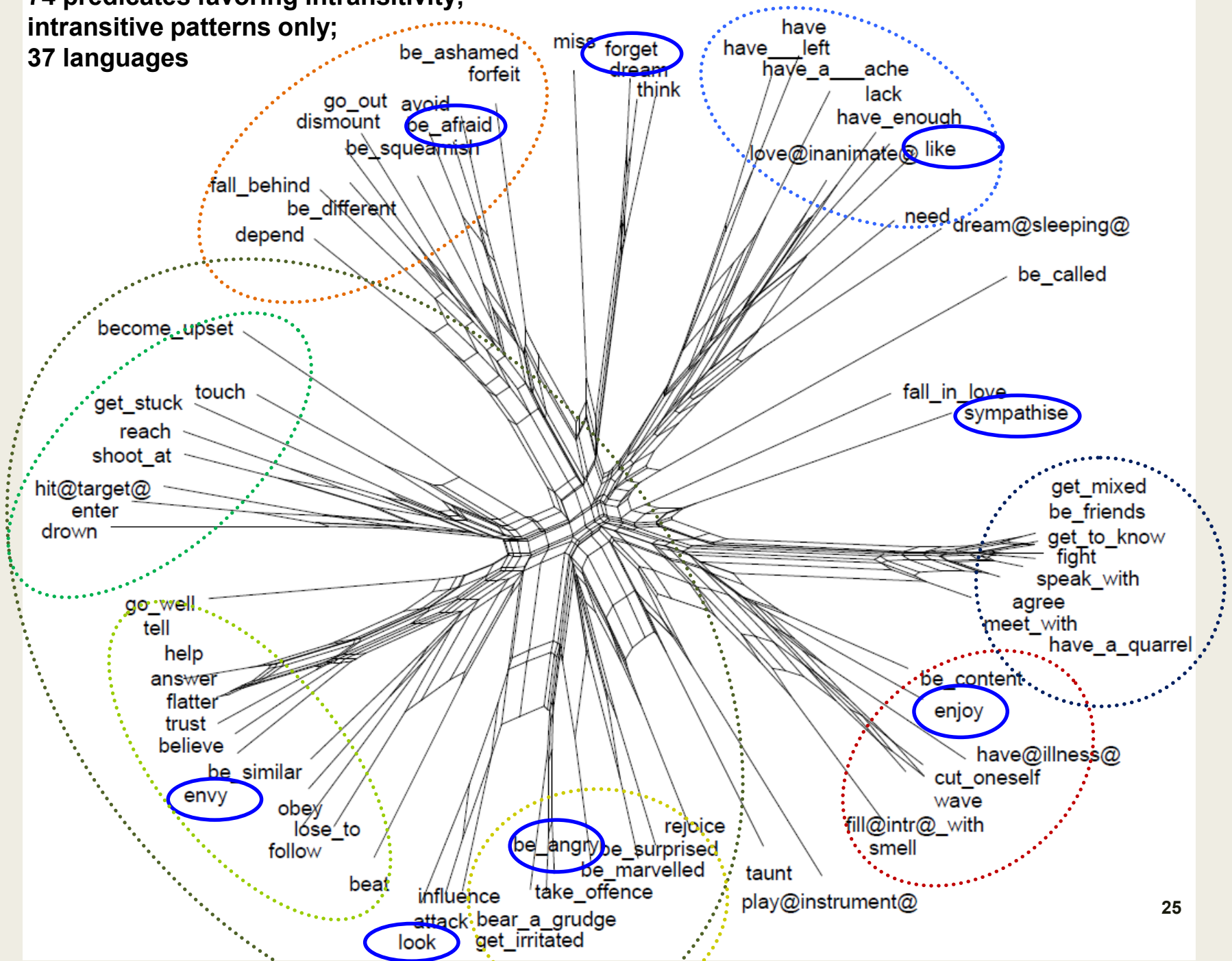
Instrument/Cause

“Motion towards”

rejoice  
be\_angry/be\_surprised  
be\_marvelled  
beat  
influence  
take\_offence  
taunt  
play@instrument@  
attack  
bear\_a\_grudge  
look  
get\_irritated



**74 predicates favoring intransitivity;  
intransitive patterns only;  
37 languages**



# Why not semantic roles?

- Interim summary

Discrete semantic roles defined on *a priori* grounds are not suitable for the empirical study of argument encoding predictability

- An alternative?

# Predictability: introducing $\pi$

- How to measure “predictability”?
  - ~~In terms of semantic roles~~
  - Use other languages as predictors, that is, as proxies for the meaning of arguments

# Predictability: introducing $\pi$

- Assume there are only 4 verbs that belong to a certain class A in a given L1:

	L1
$V_i$	A
$V_j$	A
$V_k$	A
$V_l$	A
...	

# Predictability: introducing $\pi$

- Explore the encoding of the corresponding verbs in L2:

	L1	L2
$V_i$	A	W
$V_j$	A	W
$V_k$	A	W
$V_l$	A	W
...		

- The valency patterns of these 4 verbs in L2 seem to be predictable given the system of L1

# Predictability: introducing $\pi$

- Explore the encoding of the corresponding verbs in L2:

	L1	L2
$V_i$	A	X
$V_j$	A	Y
$V_k$	A	Z
$V_l$	A	W
...		

- The valency patterns of these 4 verbs in L2 seem to be totally unpredictable given the system of L1

# Predictability: introducing $\pi$

## ■ Real-data example

	Russian	Kalmyk
'be afraid'	NOM_GEN	NOM_ABL
'reach'	NOM_GEN	NOM_DAT
'avoid'	NOM_GEN	NOM_ACC
'forfeit'	NOM_GEN	NOM_ABL
'be ashamed'	NOM_GEN	NOM_ABL

- From the perspective of Russian, the encoding of the Kalmyk equivalents of 'be afraid', 'forfeit' and 'be ashamed' is more predictable than that of the other two verbs ('reach', 'avoid')

# Predictability: introducing $\pi$

- Individual predicate, two languages:

$$\pi(V_i)(L_j \rightarrow L_k) = p(\text{Class}(V_i, L_k) | \text{Class}(V_i, L_j))$$

e.g.

$$\pi(\text{'reach'})(\text{Russian} \rightarrow \text{Kalmyk}) = 1/5 = 0.2$$

$$\pi(\text{'be\_afraid'})(\text{Russian} \rightarrow \text{Kalmyk}) = 3/5 = 0.6$$



# Predictability: introducing $\pi$

- Individual predicate, one language: explore its behaviour from the perspective of as many other languages as there are available

$$\pi(V_i)(L_k) = \frac{\sum_{j=1}^n \pi(V_i)(L_j \rightarrow L_k)}{n}$$

e.g.  $\pi$  ('be\_afraid') (Kalmyk) = 0.53

# Predictability: introducing $\pi$

- Individual predicate, many languages: average predictability

$$\pi(V_i) = \frac{\sum_{j=1}^n \pi(V_i)(L_j)}{n}$$

e.g.  $\pi$  ('be\_afraid') = 0.42

# Results

- (1) *Karl wartet auf Marie*                      NOM; auf+ACC  
'Karl is waiting for Marie.'

$$\pi = 0.12$$

- (2) *Mir fehlt ein Euro*                              DAT; NOM  
'I am one Euro short.'

$$\pi = 0.46$$

# Results

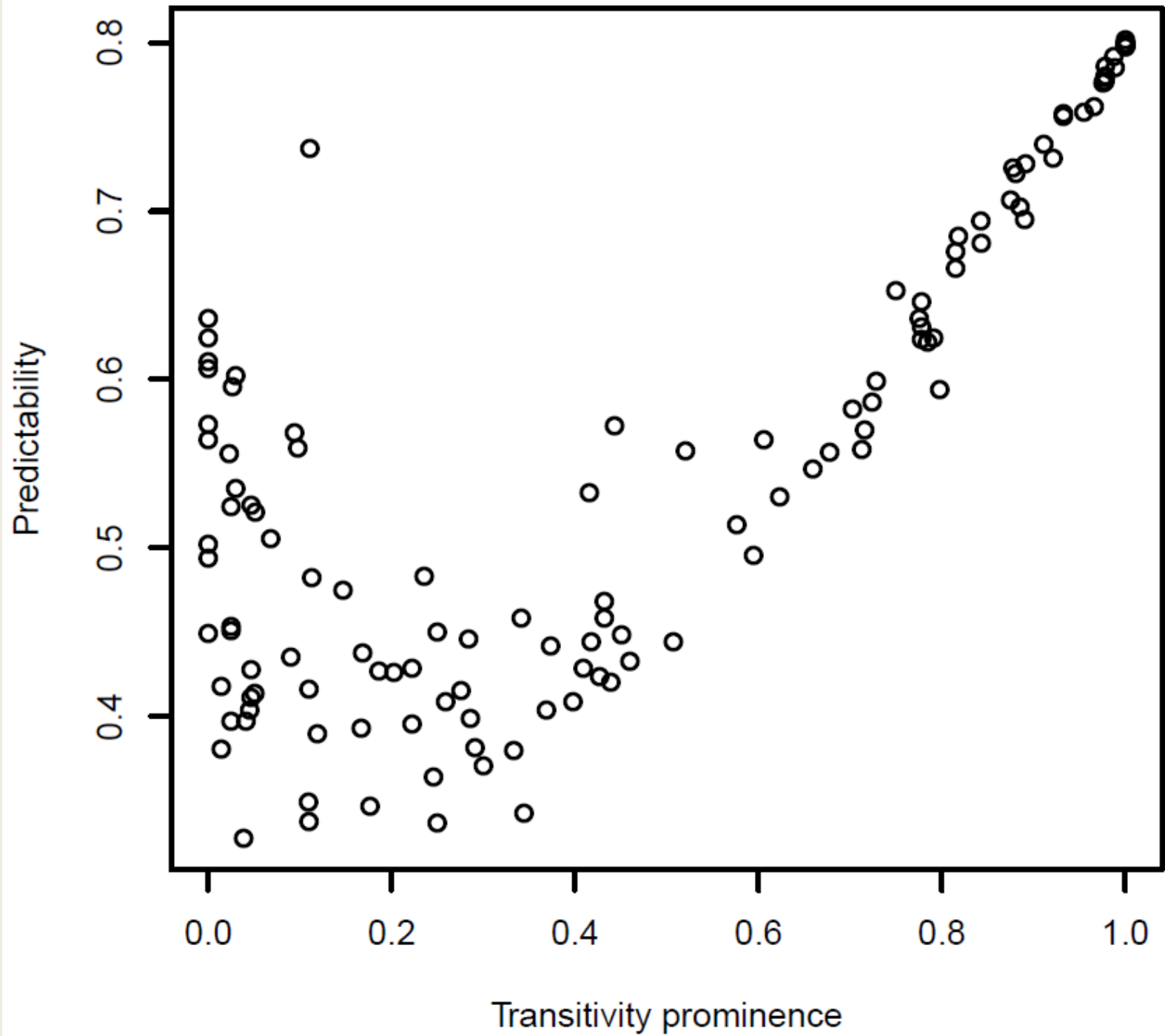
- Example: Finnish verbs with the NOM\_ILL pattern

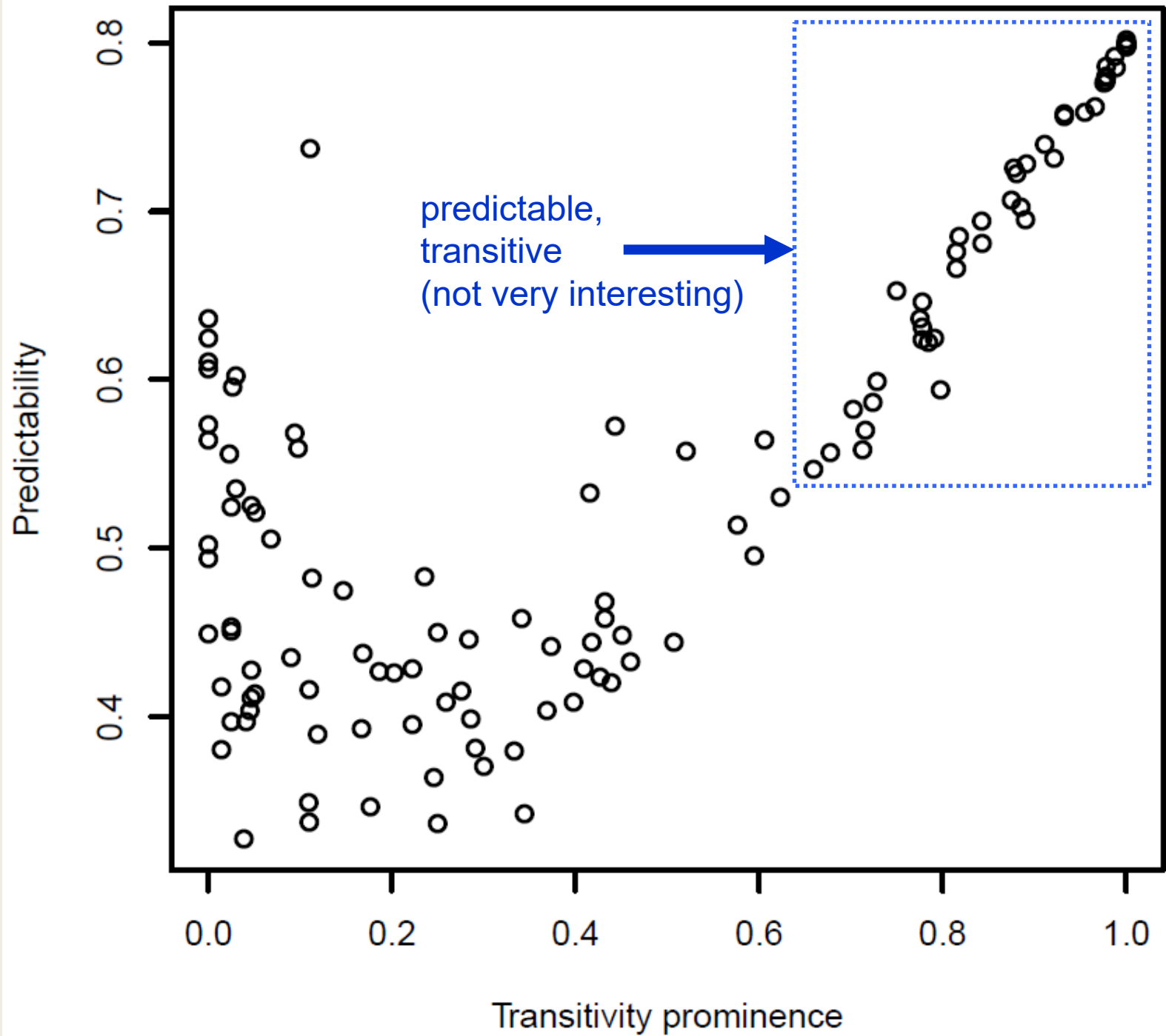
<b>verb</b>	<b>meaning tag</b>	<b>predictability</b>
<i>upota</i>	sink	0.78
<i>astua</i>	enter	0.64
<i>juuttua</i>	get_stuck	0.52
<i>osua</i>	hit_target	0.47
<i>sopia</i>	match	0.40
<i>rakastua</i>	fall_in_love	0.33
<i>sekoittua</i>	mix	0.33
<i>koskea</i>	touch	0.30
<i>luottaa</i>	trust	0.26
<i>vaikuttaa</i>	influence	0.23
<i>tyytyväinen</i> + COP	be_content	0.22
<i>tutustua</i>	get_to_know	0.21

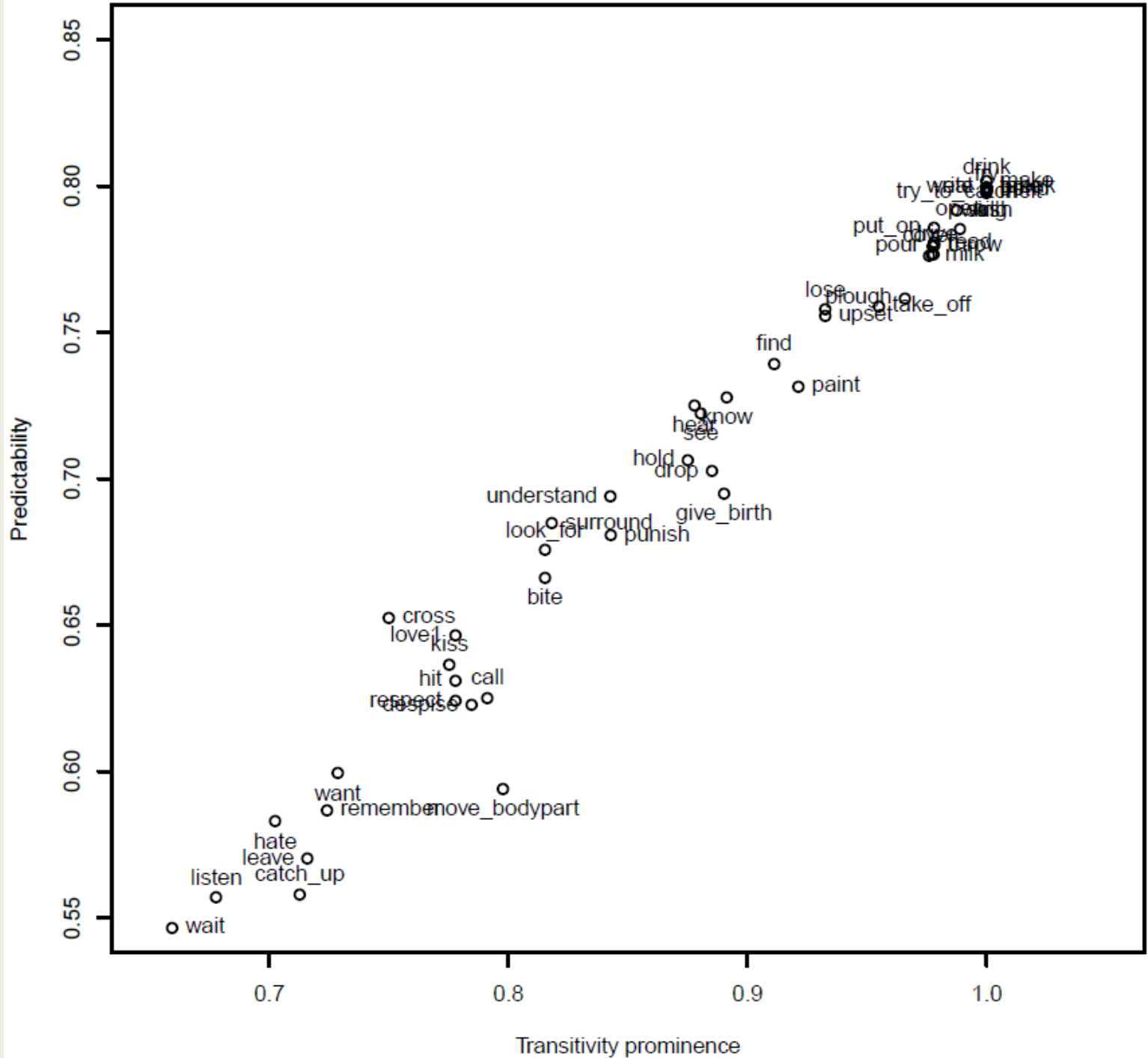
# Results: verbs

- As expected, highly transitive predicates display high predictability scores
- E.g. the list of predicates with  $\pi > 0.80$ : all these verbs are invariably transitive in the data set

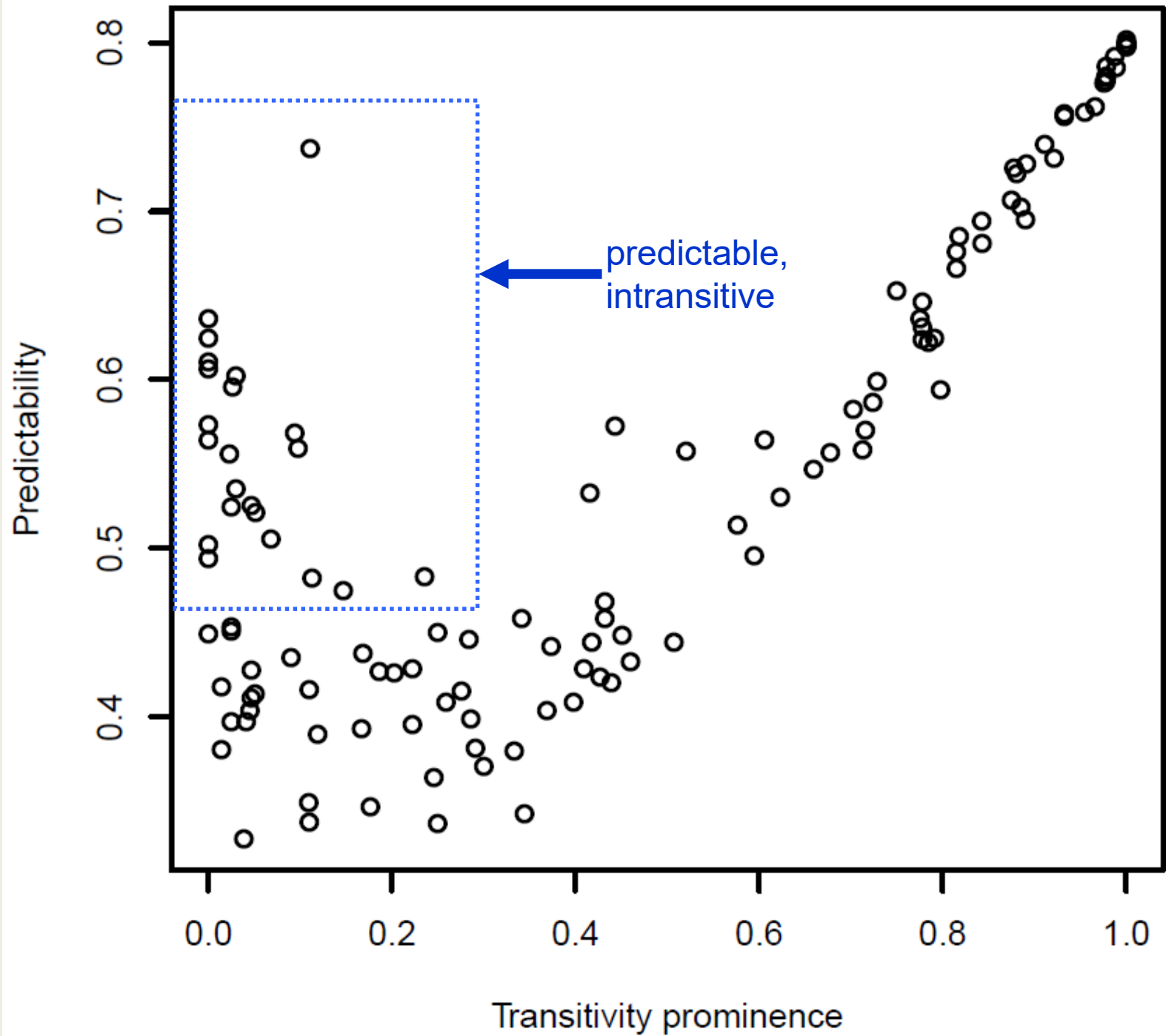
	predictability	transitivity ratio		predictability	transitivity ratio
'make'	0.80	1.00	'bend'	0.80	1.00
'eat'	0.80	1.00	'wash'	0.80	1.00
'drink'	0.80	1.00	'kill'	0.80	1.00
'take'	0.80	1.00	'sing'	0.80	1.00
'break'	0.80	1.00	'melt'	0.80	1.00
'write'	0.80	1.00	'fry'	0.80	1.00





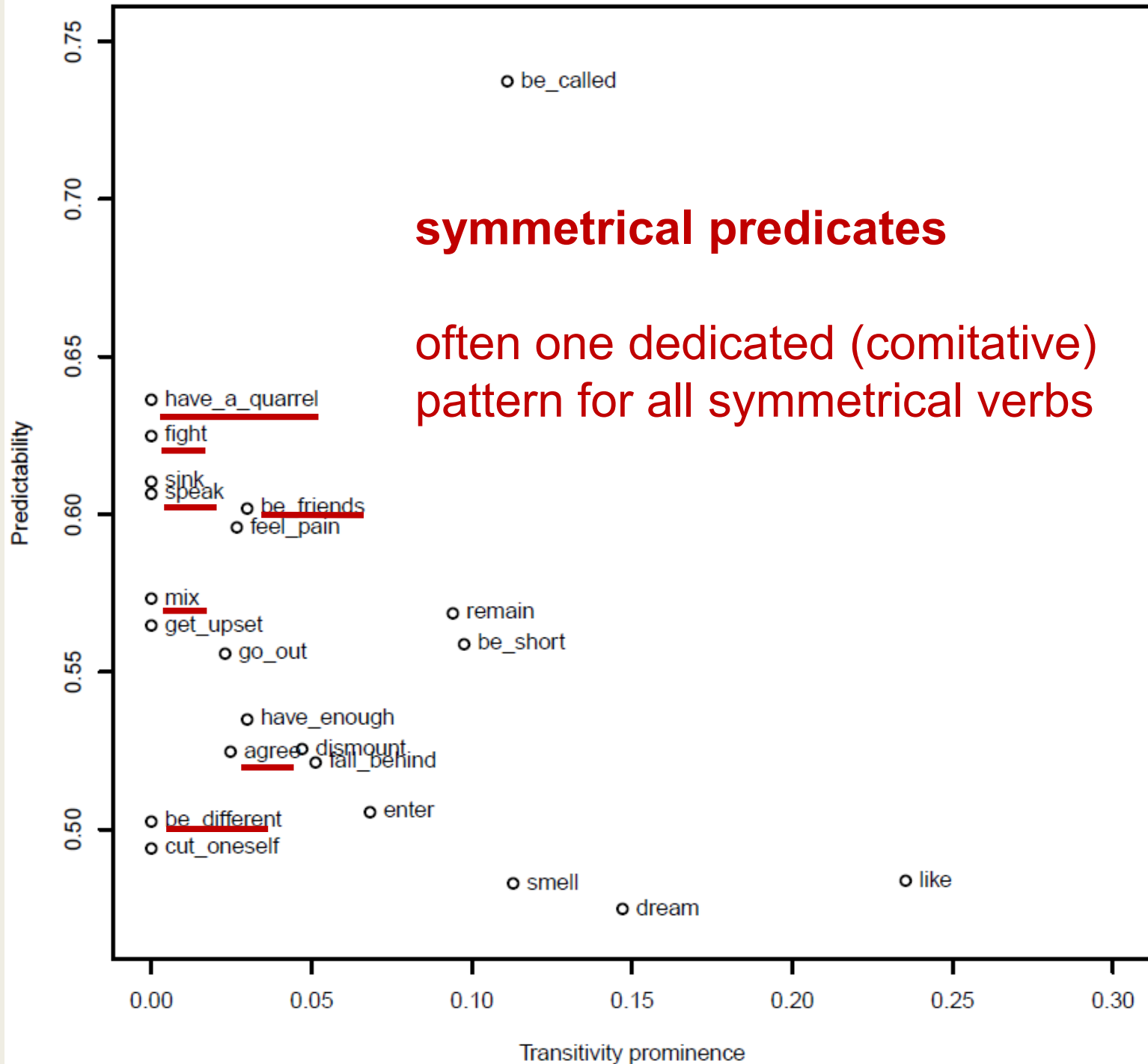




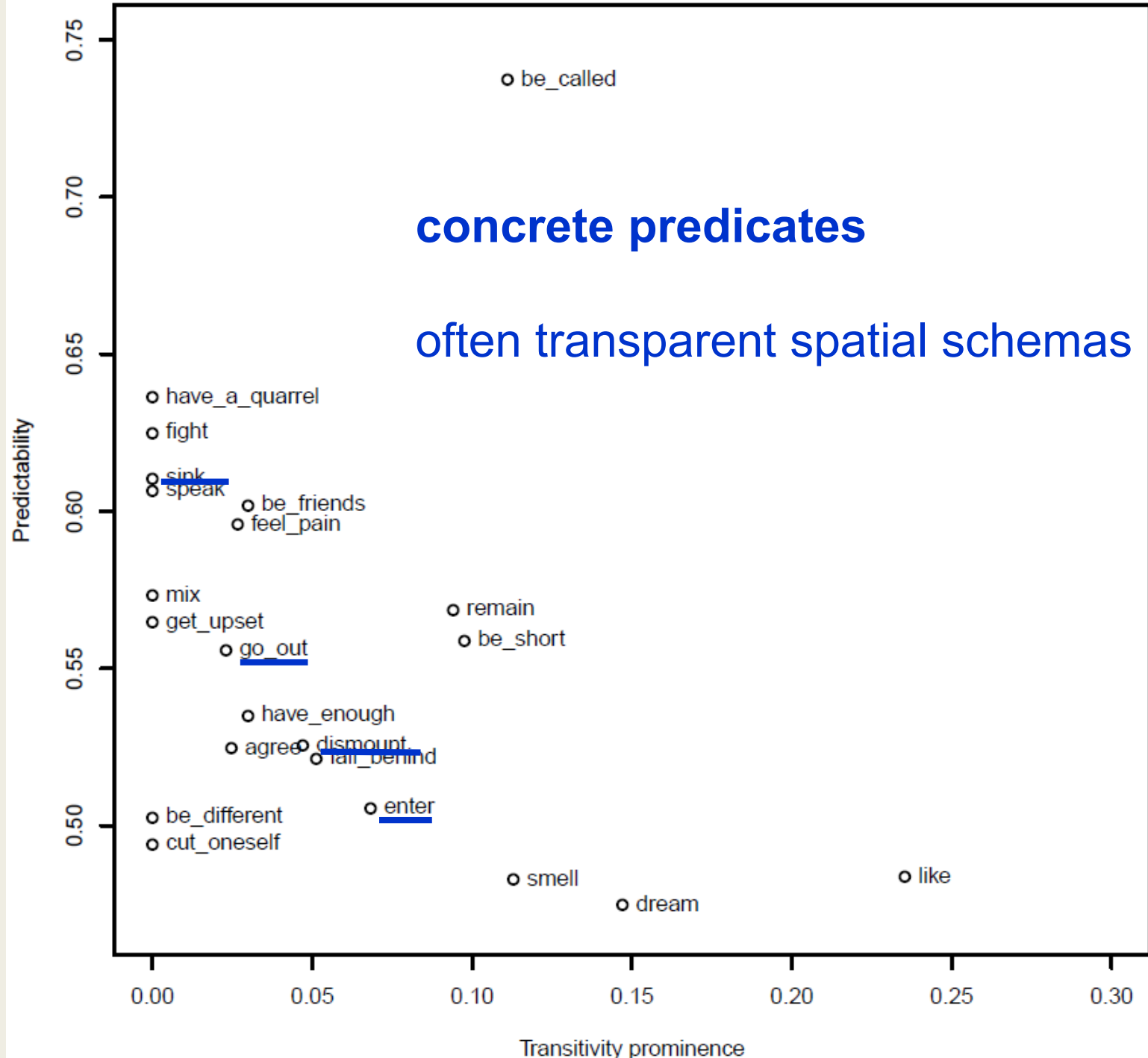


## symmetrical predicates

often one dedicated (comitative)  
pattern for all symmetrical verbs



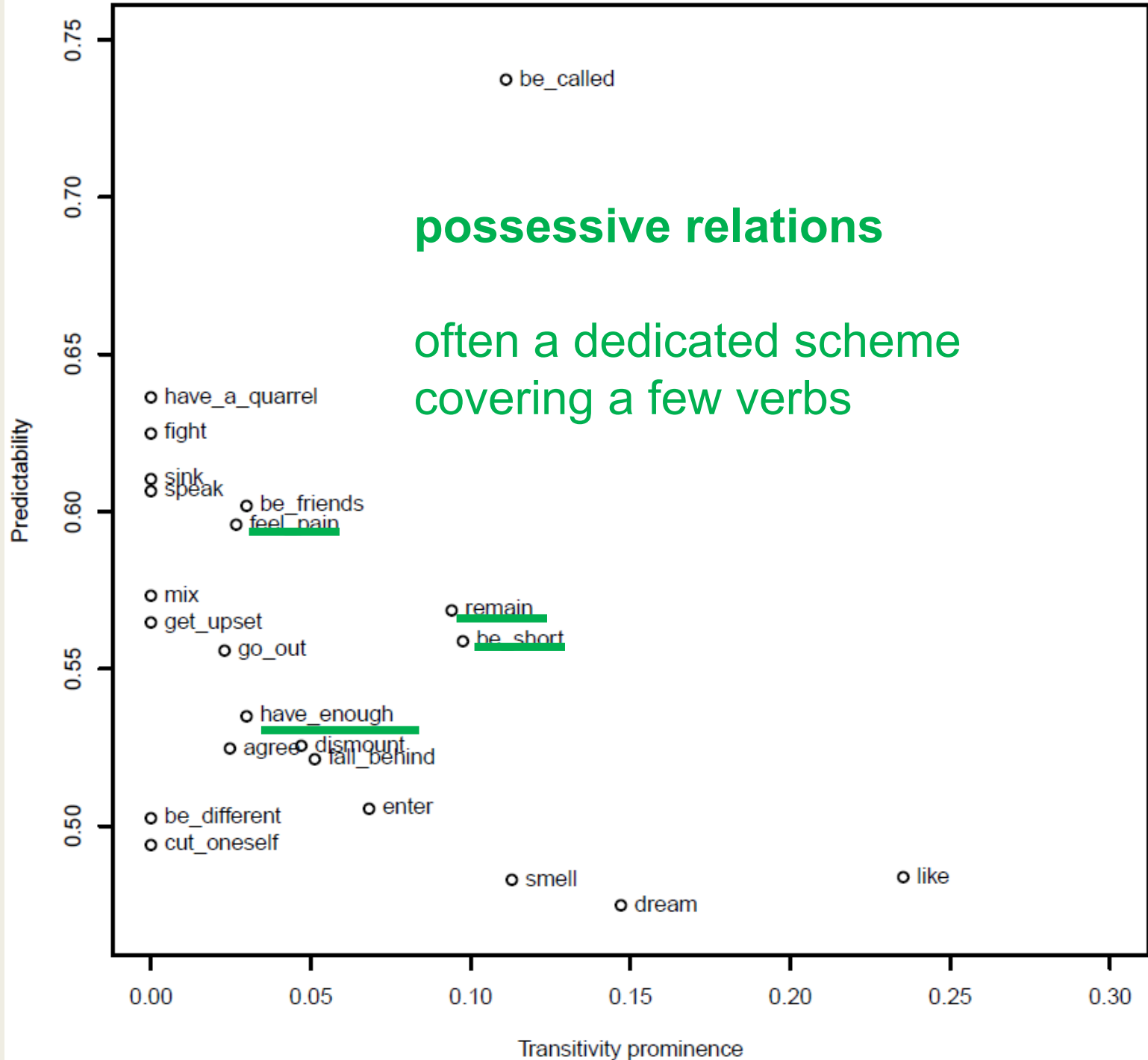




# Results: verbs

- Erzya: the only 3 examples with the NOM\_ILL pattern

<i>Pet'a</i> PN.NOM	<i>sova-s'</i> enter-PST.3SG	<i>kudo-s</i> house-ILL
'Petja entered the house.'		
<i>jondolo-s'</i> lightning-DEF	<i>pong-s'</i> hit-PST.3SG	<i>kudo-s</i> house-ILL
'A lightning hit the house.'		
<i>p'eng'e-s'</i> log-NOM.DEF	<i>vaja-s'</i> drown-PST.3SG	<i>v'ed'-s'</i> water-ILL
'The log drowned in the water.'		



# Results: verbs

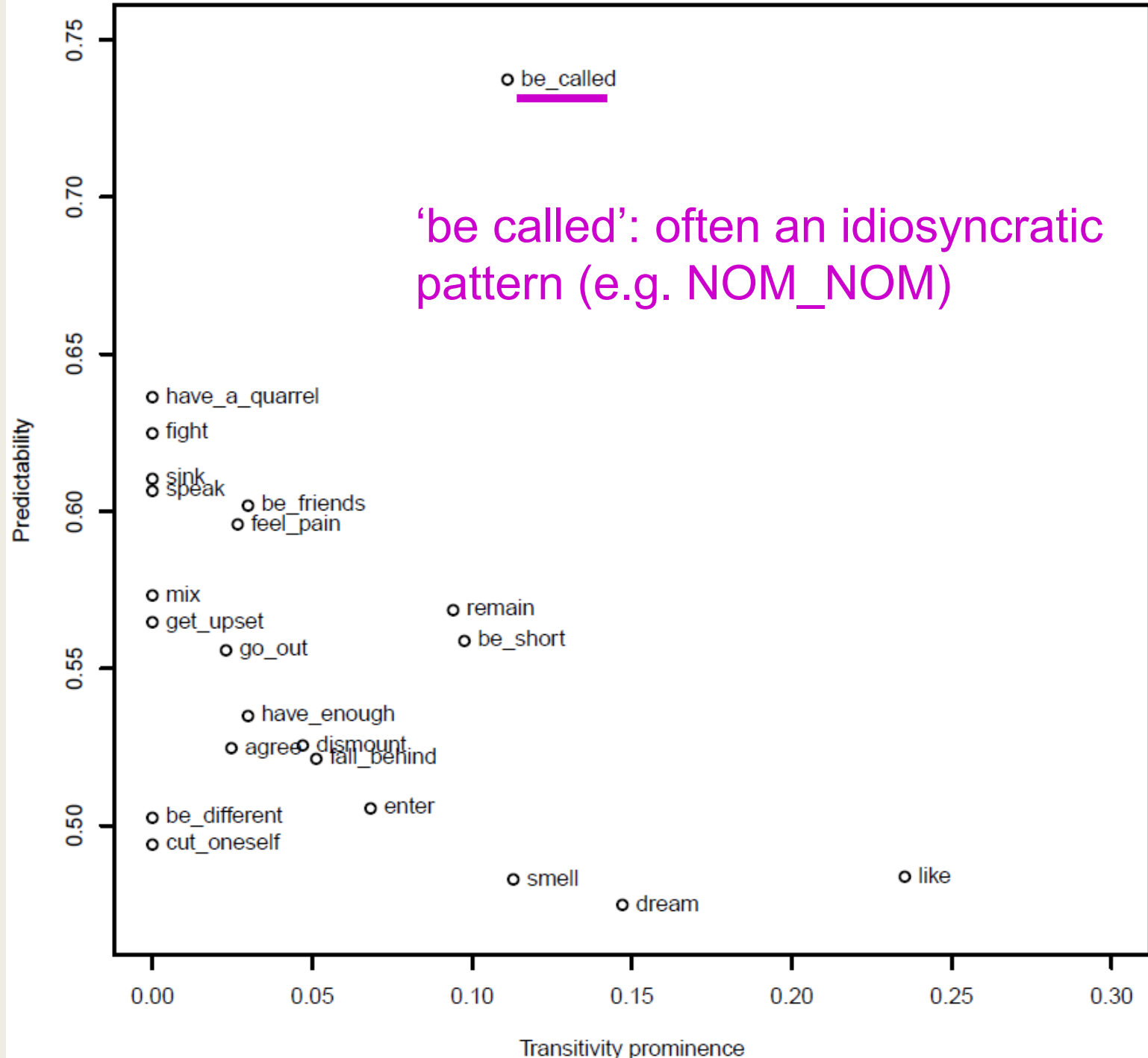
- Moksha: the GEN\_NOM pattern – 4 verbs in the data set

*kol'ε-t'*                      *s'er'ed'-i-∅*                      *pr'a-c*  
PN-DEF.SG.GEN    ache-NPST.3-SG    head-3SG.POSS.SG  
'Kolja has a headache.'

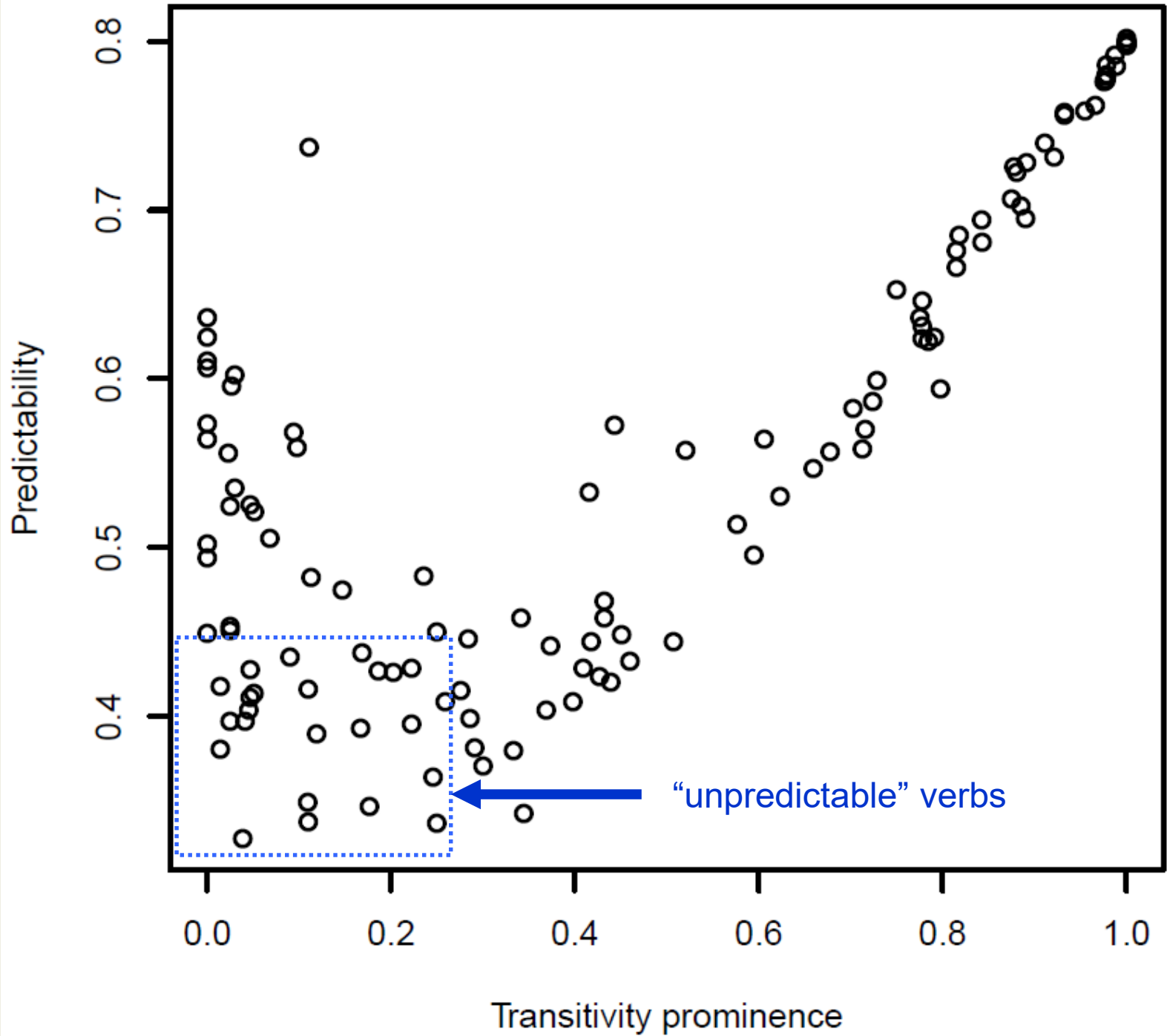
*kol'ε-t'*                      *sat-ij-t'*                      *jarmak-ənzə*  
PN-DEF.SG.GEN    suffice-NPST.3-PL    money-3SG.POSS.PL  
'Kolja has enough money'.

*kol'ε-t'*                      *lac'-∅*                      *fke*    *calkovaj*  
PN-DEF.SG.GEN    remain.PST.3-SG    one    rouble  
'Kolja has one rouble left'.

+ also 'have' (= 'be')







# Results: verbs

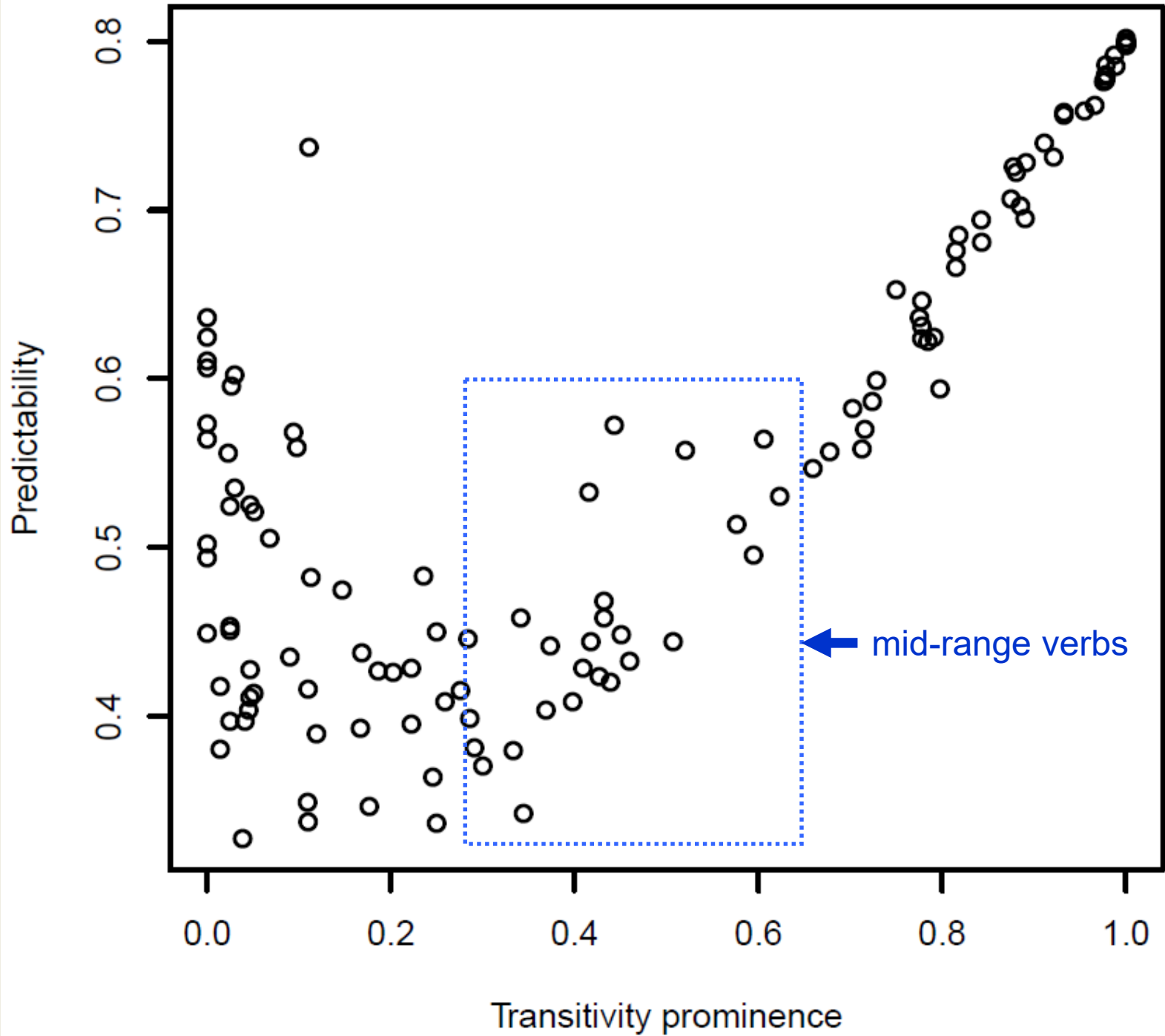
- The least predictable verbs are mainly psychological verbs
- Top 13 verbs with the lowest  $\pi$ -value ( $< 0.395$ ):

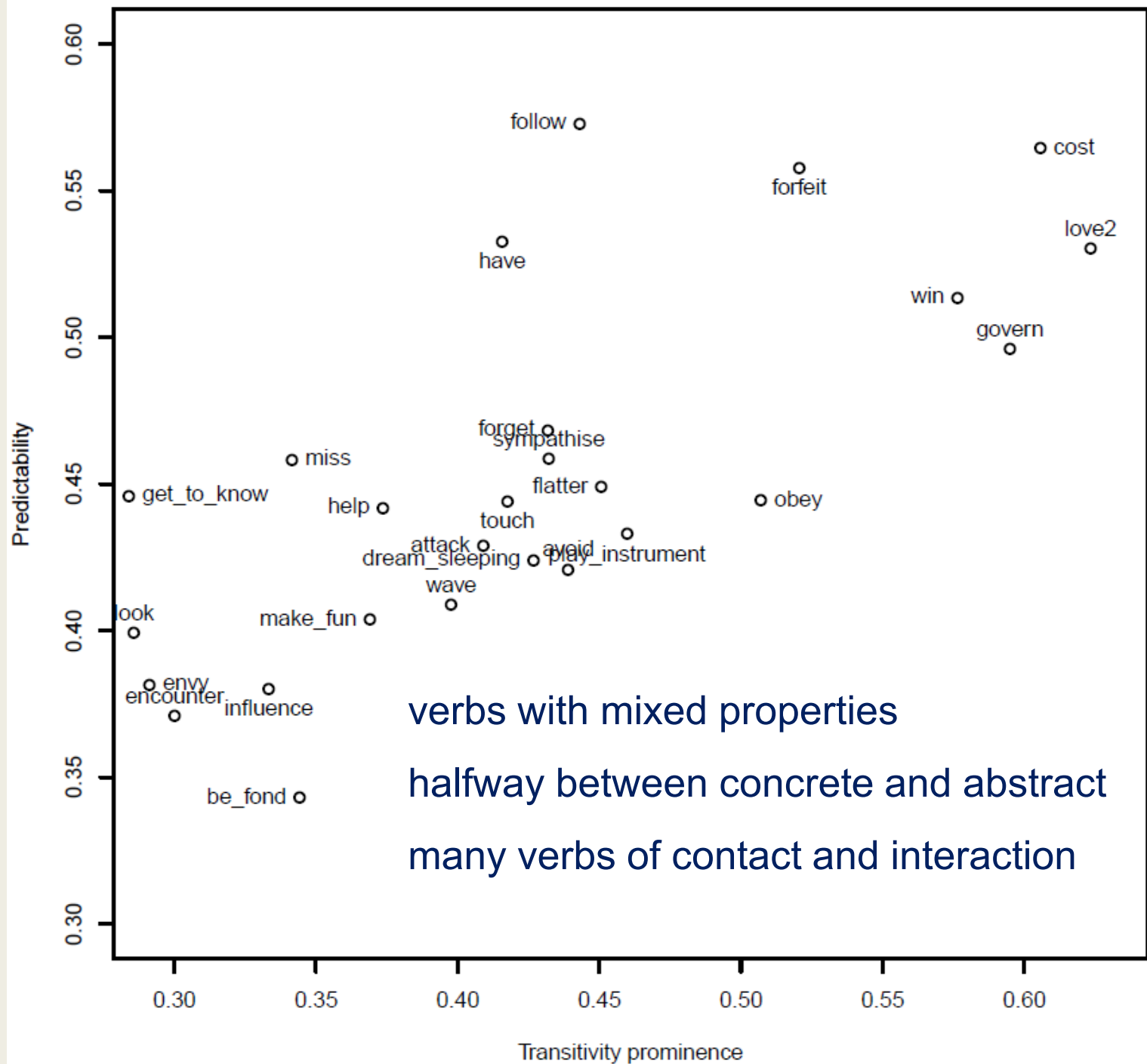
	predictability	transitivity		predictability	transitivity
be_content	0.33	0.04	encounter	0.37	0.3
fall_in_love	0.34	0.25	influence	0.38	0.33
be_surprised	0.34	0.11	take_offence	0.38	0.01
be_fond	0.34	0.34	envy	0.38	0.29
be_squeamish	0.35	0.18	resemble	0.39	0.12
marvel	0.35	0.11	trust	0.39	0.17
enjoy	0.36	0.25			

# Results: verbs

- Some psychological verbs in Hungarian:
  - various patterns
  - no obvious motivation

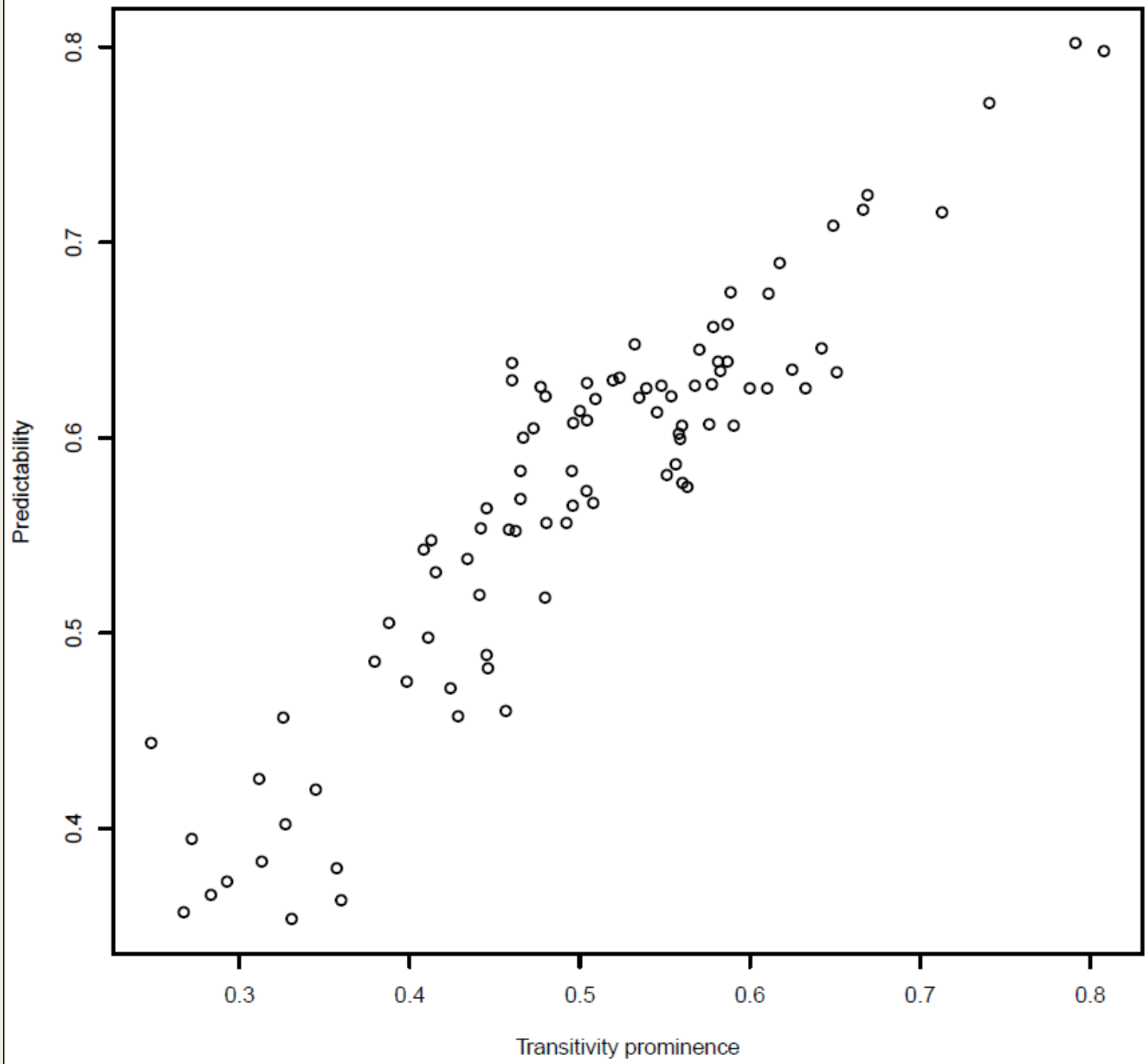
	<b>verb</b>	<b>pattern</b>
be_content	<i>elégedett van</i>	NOM_INS
fall_in_love	<i>beleszeret</i>	NOM_ILL
be_surprised	<i>meglepődik</i>	NOM_ABL
be_fond (love)	<i>szeret</i>	TR
be_squeamish	<i>kényes van</i>	NOM_SUB
enjoy	<i>jól esik</i>	DAT_NOM
take_offence	<i>megsértődik</i>	NOM_SUB
envy	<i>irigy van</i>	NOM_SUB
trust	<i>bízik</i>	NOM_INE



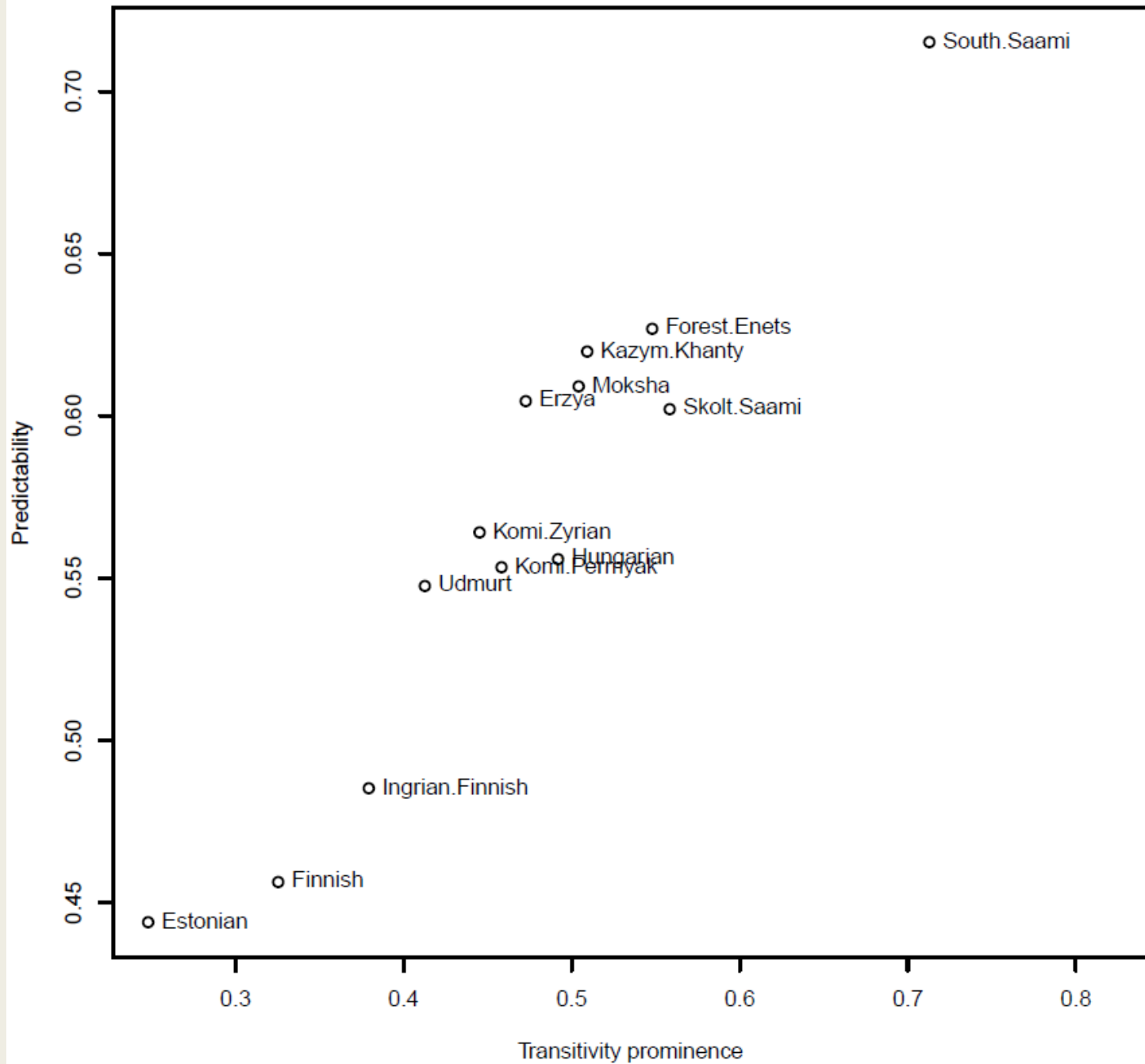


# Results: languages

- Languages can differ in the degree of semantic motivation behind their valency classes
- Theoretically, this can be captured through calculating mean  $\pi$ -values across their lexica
- In reality, the main predictor of this mean is the language's transitivity prominence: the ratio of transitive verbs



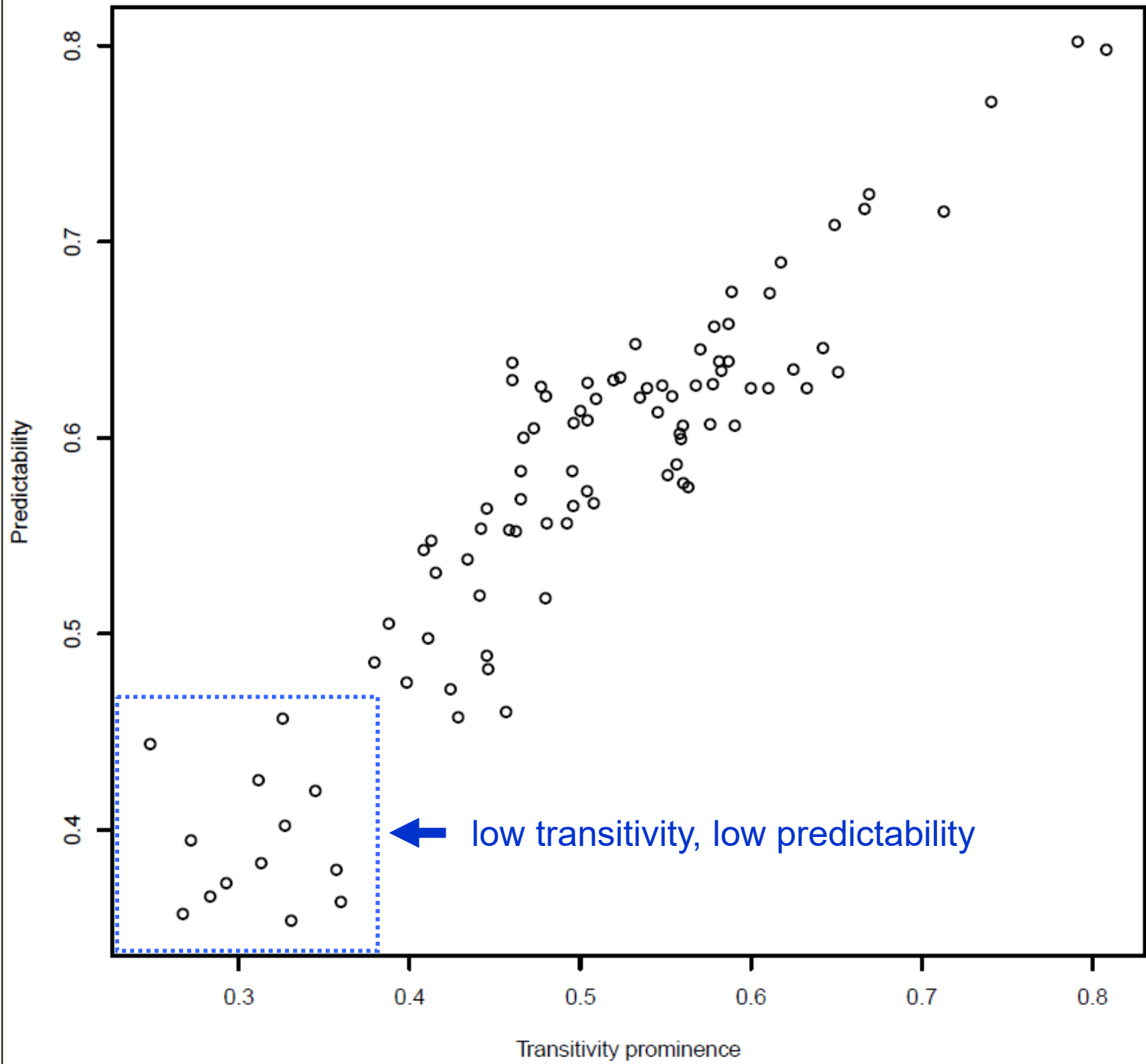
# Transitivity and predictability in Uralic

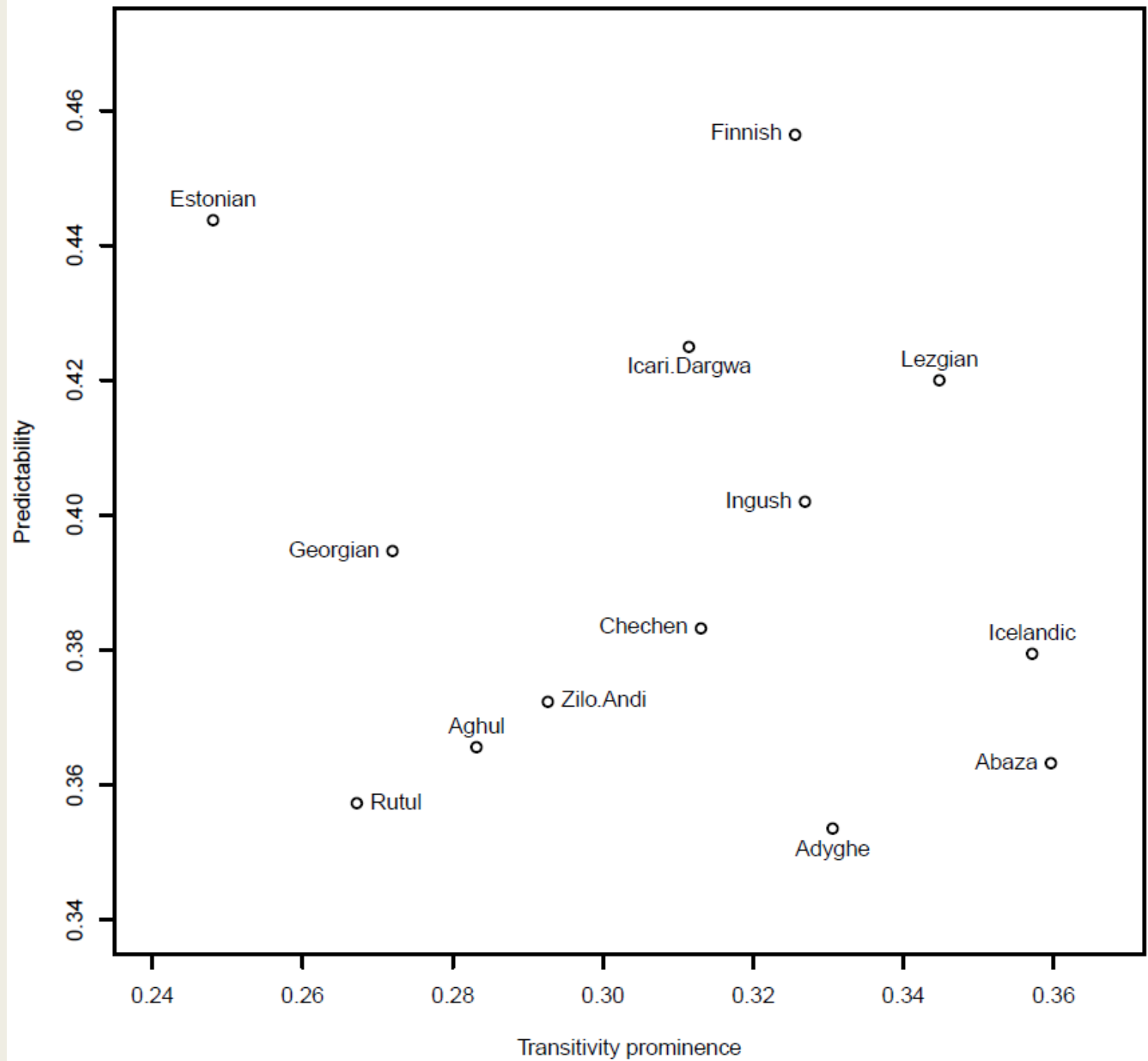




# Results: languages

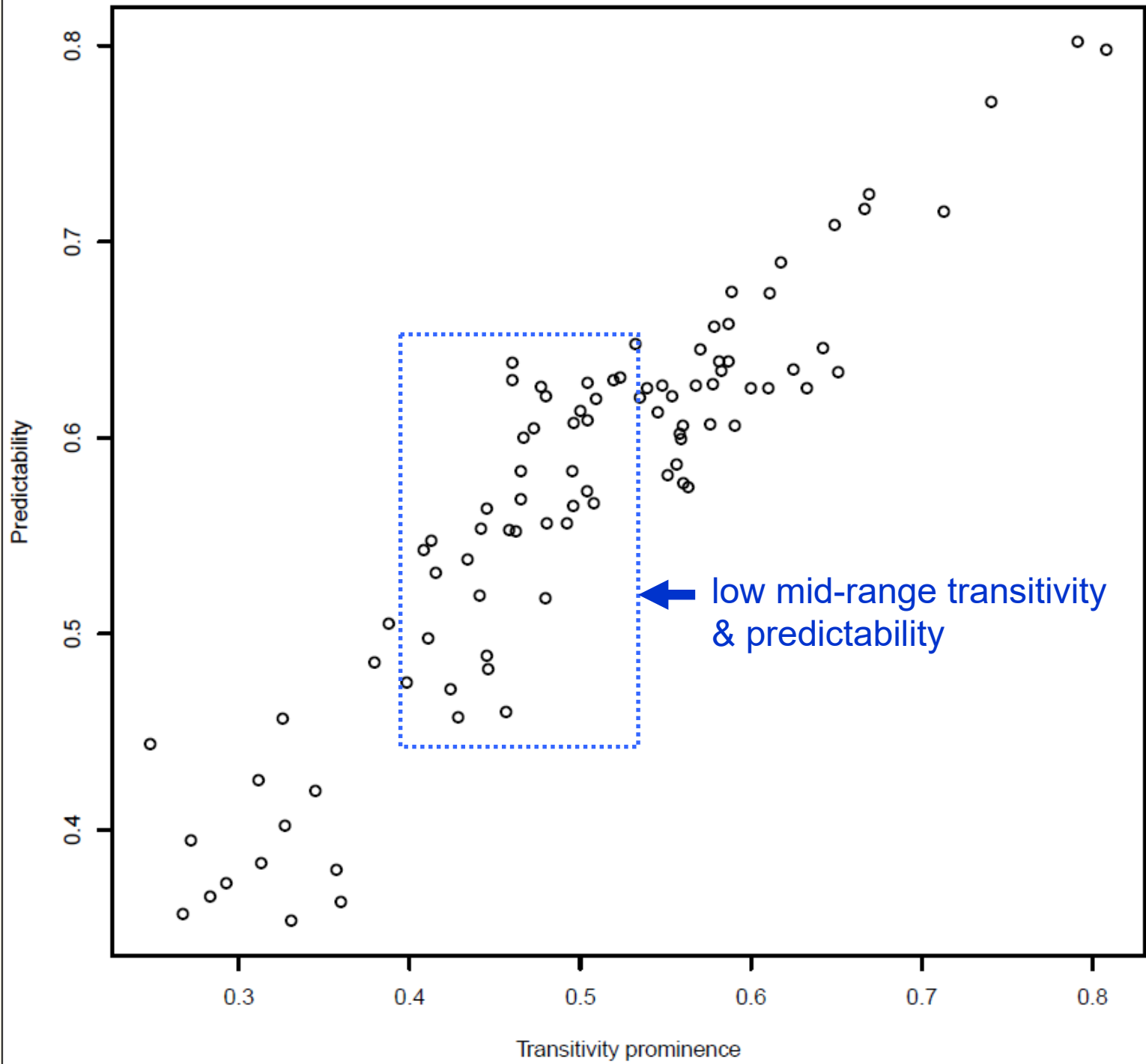
- The higher the ratio of transitive verbs, the higher the mean predictability
- This automatically follows from the methodology: less distinctions, bigger classes => easier to predict
- However, there are promising fluctuations between languages with comparable transitivity prominence

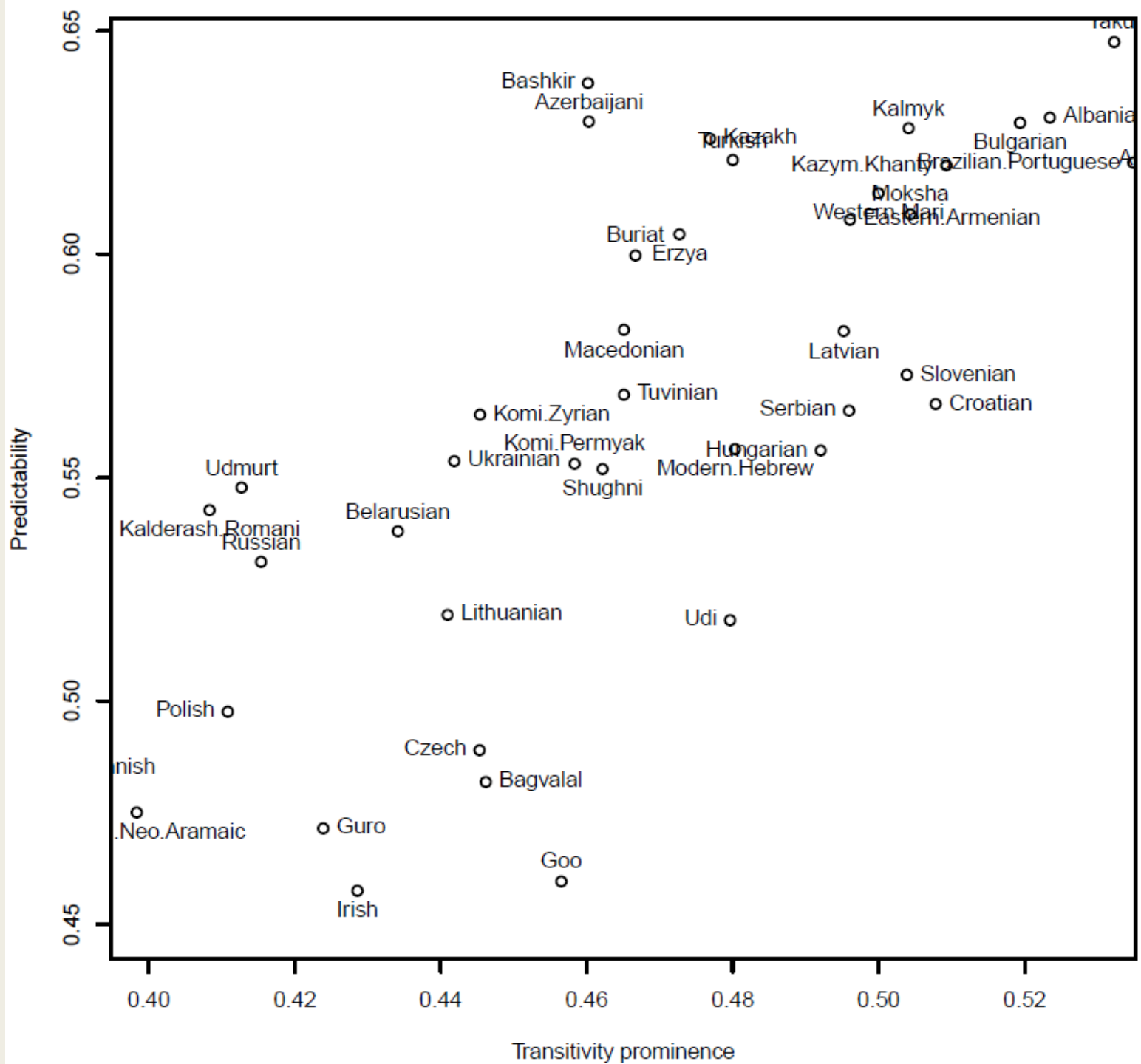




# Results: languages

- Indigenous languages of the Caucasus and Baltic Finnic are among languages with
  - the lowest transitivity prominence (NB: the NOM\_PART pattern in Baltic Finnic is considered intransitive)
  - the lowest predictability
- Northwest Caucasian (Abkhaz-Adyghe) languages have especially low predictability values
  - related to their non-transparent lexicalized preverbs?
- All things being equal, lower population size correlates with lower predictability (higher complexity)?





# Results: languages

- Predictability in the low mid-range area:
  - Turkic, Mongolic >
  - most Finno-Ugric, Baltic & Slavic >
  - Mande, Irish, Udi
- Simple case systems in Turkic & Mongolic? Few grammaticalized adpositions?
  - to be explored
- Methodological issue: languages with more “neighbours” from the same genus might appear more predictable than they are
  - work in progress!

# Conclusions and implications

- Valency patterns are neither fully predictable, nor fully idiosyncratic.
  - ⇒ Not to say it's sensational
  - ⇒ But this can be measured!
- Verb meanings differ in terms of predictability of the respective verbs' valency behaviour
- Highly transitive verbs are cross-linguistically stable (and invariably predictable)



# Conclusions and implications

- Low transitivity, high predictability:
  - symmetric predicates: ‘fight’, ‘speak’, ‘get mixed’, ‘agree’
  - some motion-related verbs: ‘go out’, ‘dismount’, ‘enter’, ‘drown’
  - some verbs related to possession: ‘be short’, ‘have enough’, ‘remain’ + ‘feel pain’

# Conclusions and implications

- Low transitivity, low predictability:
  - most verbs of emotions and other psychological verbs: ‘rejoice’, ‘be surprised’, ‘trust’, ‘fall in love’, ‘enjoy’ ...
- ⇒ No empirical justification for Experiencers and Stimuli as unified roles
- ⇒ Multiple models of construal in terms of more concrete (spatial) schemas
- ⇒ These models are largely idiosyncratic

# Conclusions and implications

- Languages also differ in the degree of their verbs' predictability
- Highly transitive languages ignore the distinctions made by other languages and display higher predictability
- Given a certain level of transitivity prominence, languages fluctuate in terms of their predictability
  - => genus-level genealogical signal?
- Intuitively, more “predictable” languages employ less variegated metaphors for abstract meanings



THANK YOU!

# Selected references

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